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031088 U.S. PTO
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102803

UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional application under 37 C.F.R. § 1.53(b))</small>		Attorney Docket No. IN-5195DIV-3	
		First Named Inventor OHRBOM, et al.	
		Filing Date Octob r 28, 2003	
		Title METHOD OF MAKING A CURABLE COATING COMPOSITION CONTAINING A CARBAMATE FUNCTIONAL REACTIVE ADDITIVE	
		Express Mail Certificate ER047861833US	
APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents</small>		ADDRESS TO: Commissioner for Patents, P.O. BOX 1450, Alexandria, Virginia 22313-1450	

1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small> 2. <input type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.27.</small> 3. <input checked="" type="checkbox"/> Specification [Total Pages <input style="width: 50px; border: 1px solid black;" type="text" value="18"/>] <small>-(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross References to Related Application - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the invention - Brief Summary of the invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input type="checkbox"/> Drawing(s) (35 U.S.C.113) [Total Sheets <input style="width: 50px; border: 1px solid black;" type="text" value=""/>] 5. <input checked="" type="checkbox"/> Oath or Declaration [Total Sheets <input style="width: 50px; border: 1px solid black;" type="text" value="02"/>] a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR § 1.63(d)) <small>(for continuation/divisional with Box 17 completed)</small> i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting</small> 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper <input type="checkbox"/> Statements verifying identify of above copies
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ACCOMPANYING APPLICATION PARTS
9. <input checked="" type="checkbox"/> Copy Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR§3.73(b) Statement <input type="checkbox"/> Power Of Attorney <small>(when there is an assignee)</small> 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/ PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> 16. <input type="checkbox"/> other:

17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment;

☐ Continuation
 ☒ **Divisional**
☐ Continuation-in-part (CIP) of prior application No Serial No. 10/055,516, filed January 23, 2002, which is a divisional of U.S. Serial No. 09/464,309, filed December 15, 1999, issued as U.S. Patent No. 6,362,285
 Prior application information: Examiner Wilson, Donald R. Group/Art Unit: 1713

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application from which an oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS					
<input checked="" type="checkbox"/> Customer Number		26922		or <input type="checkbox"/> Correspondence address below	
Country	USA	T lephone	(248) 948-2355	Fax	(248) 948-2093
Name (print Type)	Ann&M. Budde		Registration No. (Attorney Agent)	35,085	
Signature				Date	October 28, 2003

FEE TRANSMITTAL For FY 2002 Patent fees are subject to annual revision.	Attorney Docket No.	IN-5195DIV-3
	First Named Inventor	Walter H. OHRBOM, et al.
	Filing Date	October 28, 2003
	Title	METHOD OF MAKING A CURABLE COATING COMPOSITION CONTAINING A CARBAMATE FUNCTIONAL REACTIVE ADDITIVE
	Express Mail Certificate	ER047861833US

TOTAL AMOUNT OF PAYMENT \$770.00

METHOD OF PAYMENT (check all that apply)

☒ Deposit Account: ☐ Credit Card ☐ Check

Deposit Account Number **23-3425**

Deposit Account Name **BASF CORPORATION**

The Commissioner is authorized to: (check all that apply)

☒ Change fees indicated below ☒ Charge the Issue Fee Set in 37 CFR §

☒ Change any addition fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity

Fee	Code	Fee Description	
101	740	Utility filing fee	\$770.00
106	330	Design filing fee	
107	540	Plant filing fee	
108	790	Reissue filing fee	
114	160	Provisional filing fee	
SUBTOTAL (1)			\$770.00

2. EXTRA CLAIM FEES

Extra Claims Fee from below Fee Paid

Total Claims **05** -20** = **0** x **18** = \$

Independent Claims **01** - 3** = **0** x **84** = \$

Multiple Dependent **280** = \$

**Or number previously paid, if greater; For Reissues, see below

Large Entity

Fee	Code	Fee Description
103	18	Claims in excess of 20
102	80	Independent claims in excess of 3
104	270	Multiple dependent claims, if not paid
109	82	**Reissue independent claims over original patent
110	22	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

		SIR after Examiner action	
		3. ADDITION FEES	
Large	Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)		
105	130	Surcharge -late filing fee or oath	
147	2,520		
		SIR after Examiner action	
		SIR after Examiner action	
127	50	provisional ailing or cover sheet	
139	130	Non-English specification	
115	110	Extension for reply within first month	
116	400	Extension for reply within second month	
117	920	Extension for reply within third month	
118	1,440	Extension for reply within fourth month	
128	1,960	Extension for reply within fifth month	
119	320	Notice of Appeal	
119	320	Notice of Appeal	
120	320	Filing a brief in support of an appeal	
121	270	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	110	Petition to revive-unavoidable	
141	1,280	Petition to revive-unintentional	
142	1,280	Utility issue fee (or reissue)	
143	450	Design issue fee	
144	670	Plant issue fee	
122	130	Petition to the Commissioner	
123	50	Petition related to provisional application	
126	240	Submission of information Disclosure Statement	
581	40	Recording each patent Assignment per property (time number of properties)	
146	790	Filing a submission after final rejection	
149	790	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee		(specify)	
Reduced By Basic Fee Paid Filing		SUBTOTAL (3)	

18. CORRESPONDENCE ADDRESS

☒ Customer Number **26922** or ☐ Correspondence address below

Country	USA	Telephone	(248) 948-2021	Fax	(248) 948-2093
Name (print Type)	Anna M. Budde	Registration No. (Attorney Agent)	35,085		
Signature	Anna M. Budde			Date	October 28, 2003

PATENT

(Docket No. IN-5195DIV-3)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Walter H. OHRBOM et al.

Serial No.: Herewith

Filed: October 28, 2003

Group Art Unit:

Examiner:

For: METHOD OF MAKING A CURABLE COATING COMPOSITION
CONTAINING A CARBAMATE FUNCTIONAL REACTIVE ADDITIVE

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATE

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I hereby certify that the following attached paper or fee

<input checked="" type="checkbox"/>	Copy of Combined Declaration and Power of Attorney	02	Page(s)
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Marjorie Ellis

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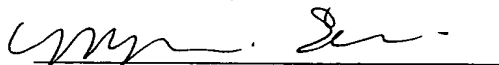
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x	Preliminary Amendment
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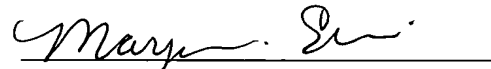
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<input checked="" type="checkbox"/> English Application			
Specification on <table border="1"><tr><td>15</td></tr></table> pages;	15	claims on <table border="1"><tr><td>04</td></tr></table> pages;	04
15			
04			
the abstract on <table border="1"><tr><td>01</td></tr></table> page(s);	01	drawings on <table border="1"><tr><td></td></tr></table> page(s).	
01			

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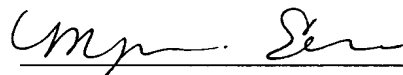
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☒ **Copy of original Assignment Documents**

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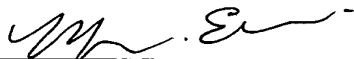
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X	Information Disclosure Statement
X	From PTO-1449
	Cited References

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<input checked="" type="checkbox"/>	Patent Application Data Sheet	01	Page(s)
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